

**NORTHUMBERLAND COUNTY COUNCIL PENSION FUND
Pension Fund Panel Meeting 6 December 2019**

Record of breach – issuing ABSs **quarter ended 30 September 2019**

Date	Category (e.g. administration, contributions, funding, investment, criminal activity)	Description and cause of breach	Possible effect of breach and wider implications	Reaction of relevant parties to breach	Reported / Not reported (with justification if not reported and dates)	Outcome of report and/or investigations	Outstanding actions
August 2019	2018/19 ABSs issued by 31 August 2019	Failure to issue 62 ABSs by 31 August 2019.	Possibility of error in members' pension records.	Administering authority: Contacted relevant employers and members to request clarification and/or further information.	Not reported Not of material significance Breach represents a very small proportion of 2018/19 ABSs due	N/A	Further monitoring required.